

# Announcements

- 1) Can turn in HW 1  
until 8 today
- 2) HW 2 up on Canvas,  
due next Thursday

# Generating Sets

Let  $V$  be a vector space over  $\mathbb{F}$ . A subset  $S$  of  $V$  is called **generating** (or **spanning**) for  $V$  if  $\text{span}(S) = V$  (span is over  $\mathbb{F}$ ).

## Example 1:

(homogeneous systems  
of linear equations)

Consider a system of  
homogeneous linear equations

$$a_{1,1}x_1 + a_{1,2}x_2 + \cdots + a_{1,n}x_n = 0$$

$$a_{2,1}x_1 + a_{2,2}x_2 + \cdots + a_{2,n}x_n = 0$$

⋮

$$a_{m,1}x_1 + a_{m,2}x_2 + \cdots + a_{m,n}x_n = 0$$

Where  $a_{i,j}$  is either real or complex for all  $1 \leq i \leq m$  and  $1 \leq j \leq n$ .

The **solution space**

to the system is all vectors  $(y_1, y_2, \dots, y_n)$  in either  $\mathbb{R}^n$  or  $\mathbb{C}^n$  such that

$$\sum_{j=1}^n a_{i,j} y_j = 0 \quad \text{for}$$

all  $1 \leq i \leq m$

The solution set  
is a subspace  
of  $\mathbb{R}^n$  or  $\mathbb{C}^n$   
(use subspace test).

A generating set can  
be obtained for the  
solution set - but I  
need words I  
haven't defined yet!

## Example 2: (frames in $\mathbb{R}^n$ )

A finite subset

$$S = \{w_1, w_2, \dots, w_k\}$$

of  $\mathbb{R}^n$  that is spanning

and satisfies the

frame condition:

$\exists A, B \in \mathbb{R}^+$  such that

$\forall v \in \mathbb{R}^n,$

$$A \|v\|^2 \leq \sum_{i=1}^k |v \cdot w_i|^2 \leq B \|v\|^2$$

Here " $v \cdot u$ " is

the usual dot  
product on  $\mathbb{R}^n$ .

If  $v = (v_1, \dots, v_n)$

$u = (u_1, \dots, u_n)$ ,

$$v \cdot u = \sum_{i=1}^n (v_i u_i) .$$

# BMW frame

$$S \subseteq \mathbb{R}^2$$

$$S = (\omega_1, \omega_2, \omega_3)$$

where

$$\omega_1 = (\cos(60^\circ), \sin(60^\circ))$$

$$\omega_2 = (\cos(180^\circ), \sin(180^\circ))$$

$$\omega_3 = (\cos(300^\circ), \sin(300^\circ))$$

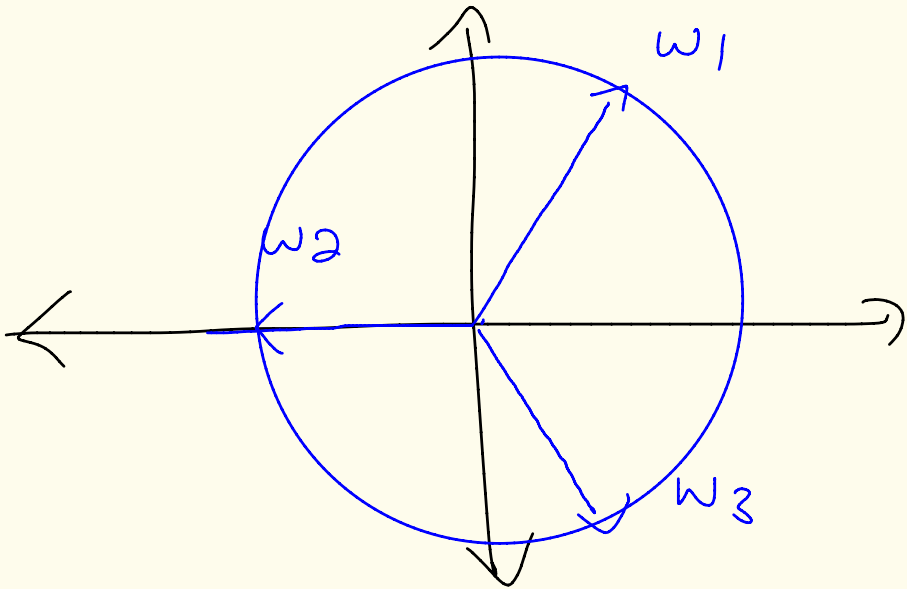


$$\omega_1 = \left( \frac{1}{2}, \frac{\sqrt{3}}{2} \right)$$

$$\omega_2 = (-1, 0)$$

$$\omega_3 = \left( \frac{1}{2}, -\frac{\sqrt{3}}{2} \right)$$

Picture



$$\omega_1 - \omega_3 = (0, \sqrt{3})$$

$$\omega_2 = (-1, 0)$$

so if  $(\alpha, \beta) \in \mathbb{R}^2$ ,

$$\begin{aligned} (\alpha, \beta) &= -\alpha \omega_2 \\ &\quad + \frac{\beta}{\sqrt{3}} (\omega_1 - \omega_3). \end{aligned}$$

This shows  $S$  is  
spanning for  $\mathbb{R}^2$ .

## Frame Condition

$$v = (v_1, v_2, v_3)$$

$$|v \cdot w_1| = \left| \frac{v_1 + \sqrt{3} v_2}{2} \right|$$

$$|v \cdot w_3| = \left| \frac{v_1 - \sqrt{3} v_2}{2} \right|$$

$$|v \cdot w_2| = |v_3|$$

Squaring each term and adding yields

$$\frac{3v_1^2}{2} + \frac{3v_2^2}{2}, \text{ so}$$

we could choose  $A=B=3/2$ .

Example 3: (non-spanning set)

$$S = \{(1, 2, 4), (-1, 0, 1)\}$$

is **not** a spanning  
set for  $\mathbb{R}^3$ .

Matrix techniques

Show this.

# Linear Independence

(Section 1.5)

Notation:  $(e_i)_{i=1}^n$

$e_i$  is the vector in  $\mathbb{R}^n$

with a one in the  $i^{\text{th}}$

position and zeros in  
all other positions.

If we fix any  $i$ ,  
 $1 \leq i \leq n$ , then  $e_i$  is  
not in the span of  
 $\{e_j\}_{1 \leq j \leq n}$  because  
 $i \neq j$

all of these vectors  
have zero in the  $i^{\text{th}}$   
position

# Definition: (linear independence)

Let  $V$  be a vector space over  $\mathbb{F}$ . A nonempty subset  $S$  of  $V$  is

called **linearly independent**

(over  $\mathbb{F}$ ) if

$\forall x_1, x_2, \dots, x_n \in S,$

$x_i \neq 0_V \forall 1 \leq i \leq n,$

then if  $\sum_{i=1}^n \alpha_i x_i = 0_V$

for some scalars  $\alpha_1, \dots, \alpha_n$  then

$$\alpha_1 = \alpha_2 = \dots = \alpha_n = 0_{\mathbb{F}}.$$

Note: this is equivalent  
to saying that whenever  
you take  $x \in S$ ,  $x \neq 0_V$ ,  
then  $x \notin \text{Span}(S \setminus \{x\})$ .



We have already shown  
that  $(e_i^n)_{i=1}^n$  is

linearly independent over

$\mathbb{R}$ . When no confusion

arises, we'll drop the

superscript "n" and just

write  $(e_i)_{i=1}^n$ .

## Example 4: (matrix units)

In  $M_n(\mathbb{R})$  or  $M_n(\mathbb{C})$ , if

we let  $e_{i,j}$  be

the matrix with a

one in the  $(i,j)$  position

and zeros elsewhere,

then  $\{e_{i,j}\}_{i,j=1}^n$  is

linearly independent over

$\mathbb{R}$  or  $\mathbb{C}$ .

## Example 5: (monomials)

Let  $V$  be the vector space of polynomials with real coefficients (over  $\mathbb{R}$ ). The

$$\text{set } S = \{x^n \mid n \geq 0\} \\ (n \in \mathbb{N})$$

is linearly independent over  $\mathbb{R}$ .

If  $\alpha_0, \alpha_1, \dots, \alpha_n \in \mathbb{R}$

and  $\sum_{i=0}^n \alpha_i x^i = 0,$

then as a function on  $\mathbb{R},$

$f(x) = \sum_{i=0}^n \alpha_i x^i$  is the zero

function. This

implies  $\alpha_0 = \alpha_1 = \dots = \alpha_n = 0$

since  $x$  can be any real number.

By the fundamental theorem of algebra,  $f$  has at most  $n$  zeros, contradiction.

Therefore the monomials

$$\{x^n\}_{n=0}^{\infty} \text{ are}$$

linearly independent over  $\mathbb{R}$ .

## Example 6 (linearly dependent set)

Let  $x$  be any vector in a vector space  $V$  over a field  $\mathbb{F}$ .

Then if  $\alpha$  is any scalar,  $x \neq 0_V$ ,  
 $\alpha \neq 1_{\mathbb{F}}, 0_{\mathbb{F}}$

then  $\{x, \alpha x\}$  is linearly dependent.

# Bases and Dimension

(section 1.6)

A **basis** for a vector space  $V$  over a field  $\mathbb{F}$  is a **maximal** linearly independent subset of  $V$ .

**Maximal** = not contained in any larger linearly independent set.

Consequence: any basis  
for  $V$  over  $\mathbb{F}$  must  
be spanning for  $V$ .